



PTO/SB/08a (05-03)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

Complete if Known

Application Number	10/079,073
Filing Date	2/20/02
First Named Inventor	Dellwala
Art Unit	3653
Examiner Name	Not yet assigned
Attorney Docket Number	053168-50030158

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner: Signature	<i>Jennifer Doan</i>	Date Considered	11/07/03
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EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language translation is attached.

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Attachment to paper 1103

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
53168-500301D8

SERIAL NO.
Not yet known

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Shrenik Deliwala

FILING DATE
February 20, 2002

GROUP
Not yet known 2874

(37 C.F.R. § 1.98(b))

J1040 U.S. PTO
10/079073
02/20/02

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JD	A	6	1	7	7	6	8	5	01/01	Teraguchi et al.			
JD	B	6	1	8	8	8	1	8	02/01	Han et al.			
JD	C	6	1	6	6	8	4	6	12/00	Maloney			
JD	D	6	0	7	5	9	0	8	06/00	Paniccia et al.			
JD	E	6	1	2	2	4	1	9	09/00	Kurokawa			
JD	F	5	8	6	4	6	4	2	01/99	Chun et al.			
JD	G	5	8	7	2	3	6	0	02/99	Paniccia et al.			
JD	H	5	8	3	5	6	4	6	11/98	Yishimura et al.			
JD	I	5	6	9	6	8	6	2	12/97	Hauer et al.			
JD	J	4	6	7	7	7	8	3	07/87	Cratsa			
JD	K	5	6	3	8	4	6	9	06/97	Feldman et al.	✓	✓	✓

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

JD		L	Michael C. Parker et al., Applications of Active Arrayed-Waveguide Gratings in Dynamic WDM Networking and Routing, Journal of Lightwave Technology, Vol. 18, No. 12, December 2000.
JD		M	Bardia Pezeshki et al., Vertical Cavity Devices as Wavelength Selective Waveguides, Journal of Lightwave Technology, Vol. 12, No. 10, October 1994.
JD		N	Lucent Technologies, Bell Labs Innovations, Arrayed Waveguide Grating Multiplexer/Demultiplexer, January 2000.
JD		O	Robert Shi and Tomasz Jansson, Optical Interconnection Foundations and Applications, Chapter 5, Integrated Optical Waveguide Routing - Micro-optics, Artech House, pp. 141-225.
JD		P	Amalia N. Miliou et al., A 1.3 μ m Directional Coupler Polarization Splitter by Ion Exchange, Journal of Lightwave Technology, Vol. 11, No. 2, February 1993.
JD		Q	Gijs J. M. Krijnen et al., A New Method for the Calculation of Propagation constants and Field Profiles of Guided Modes of Nonlinear Channel Waveguides Based on the Effective Index Method, Journal of Lightwave Technology, Vol. 12, No. 9, September 1994.

EXAMINER

Jennifer Dwyer

DATE CONSIDERED

11/07/03

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attachment to paper 1103

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. § 1.98(b))	ATTY. DOCKET NO. 53168-500301D8	SERIAL NO. Not yet known 10/079, 073
	APPLICANT Shrenik Deliwala.	FILING DATE February 20, 2002

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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APPLICANT
Shrenik Deliwala

FILING DATE
February 20, 2002

GROUP
~~Not yet known~~

2874

(37 C.F.R. § 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JD	CC	5	1	5	9	7	0	0	10/92	Reid, deceased et al.			
	DD	5	1	5	3	7	7	0	10/92	Harris			
	EE	5	0	6	1	0	2	7	10/91	Richard			
	FF	4	9	6	6	4	3	0	10/90	Weidel			
	GG	4	9	3	9	7	9	3	07/90	Stewart			
	HH	4	9	1	7	4	5	0	04/90	Pocholle			
	II	4	8	7	1	2	2	4	10/89	Karstensen			
	JJ	4	8	6	5	4	2	7	09/89	Kingston et al.			
	KK	4	7	6	1	6	2	0	08/88	Bar-Joseph et al.			
	LL	4	7	5	8	0	9	2	07/88	Heinrich et al.			
	MM	4	6	9	5	1	2	0	09/87	Holder			

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											YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

EXAMINER

Jennifer Dorman

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JD	NN	4	4	2	2	0	8	8	12/83	Gfeller	—	—	—

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Examiner Signature	<i>Jennifer Dorn</i>	Date Considered	11/07/03
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